

INTERIM FY-75 KEP STATUS REPORT

I. BACKGROUND

On 12 August 1974 FY-75 KIQs were distributed to the Intelligence Community. On 23 August USIB and IRAC were enjoined to proceed with the development of production and collection strategies for each KIQ.

These instructions, the FY-75 KIQs (accompanied by an introduction which outlined the DCI's intention to evaluate the Community's, and individual agency's performance on each KIQ) and two subsequent DCI memoranda gave rise to a group of IC collection and production strategies--perforce the objectives against which performance was to be measured--which were neither commonly structured, nor uniform in level of detail and precision of expression.

Because of this inconsistency, and philosophical differences concerning the evaluative approach to be taken, Guidance and Instructions for Performance Reporting and a Listing of FY-75 KIQ Objectives jointly developed by the NIOs and the ICS were distributed to the Intelligence Community on 9 and 30 June 1975, respectively (Tab A and Tab B).*

On 1 July the FY-75 KIQ performance period ended and the Community was requested to provide performance data on 1 August 1975 as set forth in the 9 June reporting instructions.

II. FY-75 KIQ PERFORMANCE ASSESSMENT DATA

As of 24 October, NIOs have provided 63 of the 69 KIQ performance reports required by performance reporting instructions--three reports in August, 39 on the last day of September, and the remainder on 10 and 20 October. Performance assessments on four KIQs will not be completed; three because of the absence of the NIO for China for much of the performance period and because one (the stability KIQ relating to treaty compliance has been split into two.) Although late, NIO assessments on the whole have been carefully drawn and generally are responsive to reporting instructions.

*Not attached.

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Individual assessments show considerable variation in completeness. In some instances narratives are inconsistent with quantitative judgments set forth in accompanying annexes. In many cases, assessments make little, or no, reference to precursor collection and production strategies. Performance reports on KIQs associated with Soviet policy and intentions (Substantive Objective I); [REDACTED] (Substantive Objective IV) do not address IC performance and collector contributions with respect to the specific production and collection objectives set forth in pertinent strategy reports. Assessments of Community performance on Latin American KIQs (Substantive Objective IV), while carefully drawn, use language which makes it difficult to determine differences in Community achievements on the five KIQs concerned. These inconsistencies and discrepancies inhibit comparison of performance between KIQs and among collectors/producers, and render more difficult the task of relating outcome and performance to resource expenditures.

Community production agencies CIA (DDI), INR and DIA have provided the full range of production data required for the KEP, the final increment of DIA data being received on 23 October. Despite detailed production performance data provided by IC production authorities, it has been extremely difficult--almost impossible--to measure Community production performance given the differences in approach, precision of expression and level of detail in production strategies; NIO focus on interagency production (in some instances departmental/agency production was virtually ignored); and the inherent difficulty of capturing and quantifying KIQ-related current intelligence efforts.

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Among collectors, CIA/OEL's contribution on 24 October leaves only Special Navy data outstanding. (Special Navy [REDACTED] CIRIS-75 input. Some portion of this should be KIQ-related.)

All data--NIO assessments, and collection and production information--has been entered into the automated data base.

III. FY-75 PERFORMANCE ANALYSIS

A proposed Table of Contents for the FY-75 KIQ Performance Evaluation Report has been developed (Tab C),

and software has been prepared to aggregate and manipulate the 8500-odd records now stored in the data base. Selected information is being extracted from the data base to prepare performance summaries for use as attachments and annexes to the Report.

Trial run aggregations of data now within the data base provide some tentative indications of Community performance on the FY-75 KIQs.

COLLECTION AND PRODUCTION STRATEGIES

The 69 FY-75 KIQ collection and production strategies identify 462 internal objectives/goals for the year--279 explicit collection and production objectives associated with 26 KIQs, and 183 combined collection/production goals associated with the remainder. KIQs and KIQ objectives associated with FY-75 Substantive Objectives II--Soviet capabilities to project power outside the USSR; and IV--political and security situations, or crises affecting U.S. interests--represent 65% of these totals.

DCI Substantive Objectives for FY-1975	No. of KIQs	%	KIQ Objectives	
			#	%
I - Soviet policies and intentions	5	7	26	6
II - Soviet capabilities to project	25	36	178	39
III - Chinese policies and capabilities	5	7	11	2
IV - Political/security situations and crises	20	29	122	26
V - Internatl econ policies	8	12	82	18
Additional KIQs	6	9	43	9
TOTAL	69	100	462	100

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PERFORMANCE REPORTING

In the 63 performance assessment reports received thus far, NIOs have judged that one KIQ (#58--changes in composition and location of petrodollar assets) was Completely Satisfied; three were Almost Completely Satisfied (#3--Soviet policies/activities re Europe, ME and Indian Ocean areas; #51--LA policies and activities; and #53--Panama's intentions re Canal), and that 38 others were Substantially Satisfied. The Community thus appears to be batting a little better than .600 in fulfilling the FY-75 Substantive Objectives. With respect to individual Substantive Objectives, NIOs concluded that about 40% of the KIQs associated with Objective II and 30% of those subsumed under Objective IV were satisfactorily answered.

Substantive Objective	No. of KIQs Reported	KIQ DEGREE OF ACHIEVEMENT						
		Comp	Alm. Comp	Sub-stan	Part	Lgly Unsat	Alm Tot Unsat	Unsat
I	5		1	3	1			
II	25			16	7	2		
III	2				1	1		
IV	19		2	11	6			
V	8	1		5	2			
Other	4			3	1			
TOTAL	63	1	3	38	18	3		

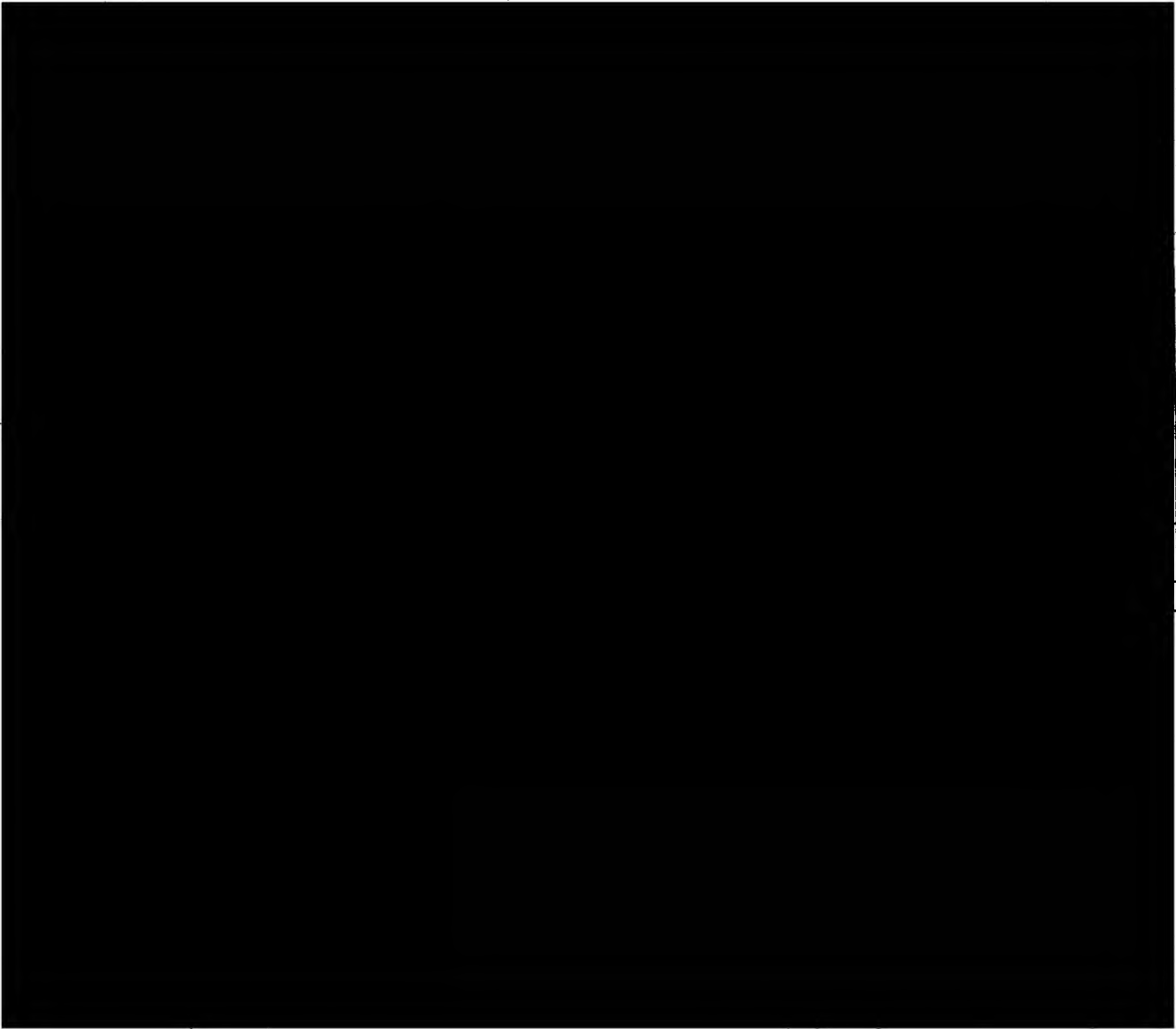
Although two-thirds of the KIQs were satisfactorily fulfilled, and only three were Largely Unsatisfied (#11--Soviet nuclear weapons technology objectives; #28--Pact non-nuclear war capabilities; and #34--China's nuclear capabilities), NIOs concluded that the Community's performance was Almost Totally Unsatisfactory on 28 of the 462 individual collection and production objectives against which the Community's achievements were assessed--about 6%. While the number of Largely Unsatisfied, Almost Totally Unsatisfied, and Unsatisfied objectives is a very small proportion of the total, and therefore may not be wholly believable, the number of collection 'Unsats' was more than double that of production; 37 vs. 22. In four

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25X1A1a of the 63 assessments, KIQs were considered to have been
Substantially Achieved despite more than 30% of inter-
nally specified objectives being Largely Unsatisfied.



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TAB C

FY-75 KEP REPORT

Table of Contents

Executive Summary

I. Introduction

- Purpose (Process & Report)
- KIQs
- Process Description & History
- Report Organization (Contents of Report)

II. Performance Analysis

- A. General
 - Methodology
 - Data Sources & Limitations
- B. Summary Aggregations
 - Consumer Satisfaction
 - KIQ Achievement
 - Collection Objectives & Contributors
 - Production Objectives & Contributors
- C. Production Performance
- D. Collection (& Processing) Performance
- E. Problems

III. Expenditures

- Collection
- Production
- NFIP Implications

IV. Summary & Conclusions

Attachments & Annexes

- 1. FY-75 KIQs
- 2. Strategies & Commitments
- 3. Performance Reporting Instructions
- 4. Performance Data (by Substantive Objective)

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